



Intermediate School District General Supervision System Assessment Tool: Part B

Michigan Department of Education
Office of Special Education
October 2023



Table of Contents

Intermediate School District General Supervision System Assessment Tool: Part B

Intermediate School District (ISD) Information	4
ISD Team Members	4
About the Tool	4
Component 1: State Performance Plan (SPP)/Annual Performance Report (APR), Including State Systemic Improvement Plan (SSIP)	6
Component 1 Summary Notes	8
Resources Worth Sharing	8
Overall Level of Implementation of Component 1	8
Component 2: Data on Results and Process	9
Component 2 Summary Notes	13
Resources Worth Sharing	13
Overall Level of Implementation of Component 2	13
Component 3: Integrated Monitoring Activities	14
Component 3 Summary Notes	17
Resources Worth Sharing	17
Overall Level of Implementation of Component 3	17
Component 4: Policies, Procedures, and Effective Implementation of Evidence-Based Practices	18
Component 4 Summary Notes	22
Resources Worth Sharing	22
Overall Level of Implementation of Component 4	22
Component 5: Professional Learning and Development and Technical Assistance (PLD/TA)	23
Component 5 Summary Notes	27
Resources Worth Sharing	27
Overall Level of Implementation of Component 5	27

Component 6: Fiscal Accountability and Management.....	28
Component 6 Summary Notes	30
Resources Worth Sharing	30
Overall Level of Implementation of Component 6	30
Component 7: Effective Dispute Resolution (Complaints, Due Process, Mediation).....	31
Component 7 Summary Notes	35
Resources Worth Sharing	35
Overall Level of Implementation of Component 7	35
Component 8: Improvement, Correction, Incentives and Sanctions	36
Component 8 Summary Notes	38
Resources Worth Sharing	38
Overall Level of Implementation of Component 8	38

Intermediate School District General Supervision System Assessment Tool: Part B

Intermediate School District (ISD) Information

ISD Name _____ Date _____

ISD Team Members

Name	Role
Name	Role
Name	Role
Name	Role
Name	Role
Name	Role

About the Tool

This tool is intended to assist ISD teams, in collaboration with the Michigan Department of Education Office of Special Education (MDE OSE), to assess the development and implementation of a system of General Supervision that supports the improvement of educational results and functional outcomes for students with IEPs, as well as meeting the requirements of IDEA, Part B. The items in each component are not intended to be exhaustive, yet to represent a broad array of activities related to the component. The items have been drawn from the 2007 *Developing an Effective System of General Supervision: Part B*, as well as requirements of IDEA and MARSE. The items represent both good practice and compliance. The ISD team is expected to form a core team, which may include all or some of the members of the General Supervision System Grant team because the work in both areas is complementary. The core team may be supplemented throughout the self-assessment process by additional members as appropriate for the component(s) being assessed at the time. As the ISD team, in collaboration with the MDE OSE, works through the assessment, it is important to also think about the activities that have been and are being undertaken as part of the General Supervision System Grant work. The eight components for the grant work and the eight components for the assessment is the same. These activities are connected and interrelated. The tool includes the eight components of a General Supervision System with associated items. It is organized by component by item with columns for the ISD to describe the activities, processes, procedures, and practices currently in place and for a description of what may need to be done to fully evidence an item and improve. There is also a column of Sample Documentation. This column, as the name implies, lists sample documentation to support the decision on the extent of evidence. These sample lists are not intended to be exhaustive. The ISD team may identify different and/or additional documentation. The ISD completing the assessment determines the extent to which the

description of what is currently being done and substantiating documentation show evidence. There is not a quantitative criterion for evidence. For example, having one comprehensive substantiating type of documentation may be sufficient to demonstrate “fully evidenced,” just as having several substantiating documents and practices may only lead to “partially evidenced.” These decisions are for the team to discuss and decide. For each of the components, it is expected the ISD team, in collaboration with the MDE OSE, will keep records of discussions or their process for making decisions. This provides a record for ensuring replicability and the sources of information used are valid and reliable. ISD documentation is expected to be organized by component and item in an electronic format so that the ISD can easily retrieve, review, and supplement as the team proceeds in completing the tool.

The rating of implementation is based on the team’s discussion of the items, substantiating documentation, and extent of evidence. The levels of implementation provide guidance on how to make decisions based on the extent of evidence. As is noted, exploration is an initial stage where the team is learning about the component and will not have fully evidenced any items, while full implementation is demonstrated when 100% of items related to the component have been fully evidenced. The eight components are State Performance Plan/Annual Performance Report/State Systemic Improvement Plan, Data on Results and Processes, Integrated Monitoring Activities, Policies, Procedures, and Effective Implementation of Evidenced-based Practices, Professional Learning and Development and Technical Assistance, Fiscal Accountability and Management, Effective Dispute Resolution, and Improvement, Correction, Incentives, and Sanctions.



Component 1: State Performance Plan (SPP)/Annual Performance Report (APR), Including State Systemic Improvement Plan (SSIP)

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>1. The ISD communicates with and seeks input from Member Districts on improving results for students with IEPs and compliance with MARSE and IDEA.</p>		<ul style="list-style-type: none"> • Meeting agenda • Electronic or other communication media 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>
<p>2. Relevant ISD personnel have knowledge of and understand their role and accountability (if any) for the implementation of the SPP/APR.</p> <p>34 CFR 300.600</p>		<ul style="list-style-type: none"> • List of ISD personnel with a role in the implementation of the SPP/APR • Professional Learning and Development (PLD) materials • Communication materials 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>3. The ISD allocates resources and technical assistance to facilitate improved performance.</p> <p>34 CFR § 300.703</p>		<ul style="list-style-type: none"> • Budget and resource allocation • Annual schedule of PLD offerings • Materials to evidence technical assistance 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>
<p>4. The ISD evaluates Member Districts' performance against state targets.</p>		<ul style="list-style-type: none"> • Routine scheduled systematic review • Strand Report Summary reviewed and shared with stakeholders 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
5. Analysis of progress and slippage, including results and compliance indicators, is used to prioritize the ISD’s activities for the upcoming year. 34 CFR § 300.600(d)(e)		<ul style="list-style-type: none"> • System for prioritizing improvement activities based on data analysis • Written plan of ISD activities related to results and compliance indicators (including who worked on it, and timeline) 		Fully evidenced Partially evidenced Not evidenced

Component 1 Summary Notes

Resources Worth Sharing

Overall Level of Implementation of Component 1

Full Implementation – The ISD has fully evidenced at least 100% of the items in the implementation of the system of general supervision.

Partial Implementation – The ISD has fully evidenced 51% to 99% of the items that will lead to full implementation of the system of general supervision.

Initial Implementation – The ISD continues implementation with 26% to 50% of the items rated as ‘fully evidenced.’

Installation – The ISD has begun implementing activities to put this component of general supervision in place, up to 25% of the items are ‘fully evidenced.’

Exploration – The ISD continues to learn what this component of general supervision means and how it relates to the work of the ISD. No Items (0%) are rated as ‘fully evidenced.’

Component 2: Data on Results and Process



Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
1. Data are routinely collected throughout the year. 34 § 300.602(b)(1)(ii)		<ul style="list-style-type: none"> • Data in MSDS, SIS, Catamaran and other systems • Procedures or routines for data collection • Additional regional data (NWEA, curriculum-based measures, behavior write ups) 		Fully evidenced Partially evidenced Not evidenced
2. Member Districts submit data in a timely manner. 34 § 300.602(b)(1)(i)(A)		<ul style="list-style-type: none"> • Evidence of support for Member Districts to submit timely data • X% of member districts certify IDEA Data by or on the certification deadline 		Fully evidenced Partially evidenced Not evidenced

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
3. Verification of correction of Member District data is achieved through multiple methods or activities, including electronic, comparative, or on-site monitoring.		<ul style="list-style-type: none"> • Evidence of a review of Member District data for reasonableness and accuracy before the certification deadline • Communication of data anomalies to Member Districts 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>
4. Data are available from multiple sources. 34 CFR § 300.600(c)		<ul style="list-style-type: none"> • Data sources include MSDS, SIS, Catamaran, WSU • Other local data sources 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>5. Data are analyzed at the ISD and Member District levels to guide improvement and compliance activities.</p> <p>34 CFR § 300.600(d)(3)</p>		<ul style="list-style-type: none"> • Evidence of ISD data review at ISD and Member District level • Data analysis from WSU 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>
<p>6. ISDs use a data analysis process when notified by OSE of potential data quality issues to determine actionable causes.</p> <p>34 CFR § 300.601(b)(1)</p>		<ul style="list-style-type: none"> • Description of the process • Communications related to the data quality issues • Data analysis documents 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>7. Data analysis leads to planning, implementing, and evaluating improvements.</p> <p>34 CFR § 300.600(b)</p>		<ul style="list-style-type: none"> • Improvement plan • Outline of activities and improvements expected 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>
<p>8. ISD and Member District data are systematically reviewed to determine technical assistance and monitoring activities.</p> <p>34 CFR §300.600(b), (c) and (d)</p>		<ul style="list-style-type: none"> • Evidence of systematic data reviews • Evidence of a connection between data reviews and monitoring activities 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Component 2 Summary Notes

Resources Worth Sharing

Overall Level of Implementation of Component 2

Full Implementation – The ISD has fully evidenced at least 100% of the items in the implementation of the system of general supervision.

Partial Implementation – The ISD has fully evidenced 51% to 99% of the items that will lead to full implementation of the system of general supervision.

Initial Implementation – The ISD continues implementation with 26% to 50% of the items rated as ‘fully evidenced.’

Installation – The ISD has begun implementing activities to put this component of general supervision in place, up to 25% of the items are ‘fully evidenced.’

Exploration – The ISD continues to learn what this component of general supervision means and how it relates to the work of the ISD. No Items (0%) are rated as ‘fully evidenced.’

Component 3: Integrated Monitoring Activities



Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>1. The ISD has implemented the MDE OSE directed monitoring activities.</p> <p>34 CFR § 300.600(c) (d) and R 340.1839(2)</p> <p>MARSE R 340.1839 Monitoring and program evaluation. Rule 139</p>		<ul style="list-style-type: none"> • Procedures or protocols related to the ISD’s monitoring responsibilities • Evidence the procedures are aligned to the state monitoring manual • Evidence of consistent implementation of the procedures 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>
<p>2. The ISD consistently implements the MDE OSE monitoring procedures or protocols.</p> <p>34 CFR § 300.626 and R 340.1839</p>		<ul style="list-style-type: none"> • Evidence of consistent implementation of the MDE OSE’s procedures 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>3. The ISD monitors the components of the ISD Plan to ensure implementation of the delivery system of special education programs and services by Member Districts.</p> <p>MCL 380.1711(1)(a)</p> <p>R 340.1831 – 340.1839</p>		<ul style="list-style-type: none"> • Child Find contacts and outreach methods match • Written documentation of entity responsible for diagnostic and related services staff • ISD plan special education programs and services match those provided • Evidence of qualified paraprofessionals • Evidence of surrogate pool with annual training 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>4. Training is provided at all levels for those involved in monitoring to assure consistency in the implementation of monitoring procedures to ensure transparency of the process.</p>		<ul style="list-style-type: none"> • List of personnel involved in monitoring which details their responsibilities • Evidence of training of personnel for their responsibilities 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>
<p>5. Monitoring results trigger corrective actions, technical assistance, improvement strategies, fiscal decisions and other investments, sanctions, and incentives that ensure timely correction.</p> <p>34 CFR §300.600; 34 CFR 300.626; 34 CFR 300.204(d); 34 CFR 300.608(a); R 340.1854(2); and R 340.1855(b)]</p>		<ul style="list-style-type: none"> • Rationale/decision chart that aligns monitoring results with actions • Evidence of corrective actions • Evidence of timely correction 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>6. Findings of correction of noncompliance are verified in a timely manner and submitted to the State.</p> <p>34 CFR §300.600(e)</p>		<ul style="list-style-type: none"> Catamaran activities are completed within the timeline 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Component 3 Summary Notes

Resources Worth Sharing

Overall Level of Implementation of Component 3

Full Implementation – The ISD has fully evidenced at least 100% of the items in the implementation of the system of general supervision.

Partial Implementation – The ISD has fully evidenced 51% to 99% of the items that will lead to full implementation of the system of general supervision.

Initial Implementation – The ISD continues implementation with 26% to 50% of the items rated as ‘fully evidenced.’

Installation – The ISD has begun implementing activities to put this component of general supervision in place, up to 25% of the items are ‘fully evidenced.’

Exploration – The ISD continues to learn what this component of general supervision means and how it relates to the work of the ISD. No Items (0%) are rated as ‘fully evidenced.’

Component 4: Policies, Procedures, and Effective Implementation of Evidence-Based Practices



Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>1. The ISD procedures are aligned with IDEA and MARSE.</p> <p>34 CFR §76.700; 20 U.S.C. R 340.1832, R 340.1837(1)</p>		<ul style="list-style-type: none"> • Written assurance statement • Copy of Member District policies and/or procedures • Evidence of the review of all Member Districts' policies and procedures for alignment and correction 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>2. The ISD and Member Districts have procedures in place to ensure all personnel carrying out the requirements of IDEA are appropriately and adequately prepared.</p> <p>34 CFR §§300.207 and 300.201; 20 U.S.C. 1412(a)(14)(A)-(E); R 340.1771-1774, R 340.1781 R340-1832</p>		<ul style="list-style-type: none"> • Hiring practices provide evidence of certification, licensure, or approval checks • Routine system for ongoing verification of staff qualifications (e.g. MOECS and LARA) • Evidence of ongoing professional development opportunities • Records of coaching, mentoring • Staff record of professional development • Review of written hiring practices • Job postings 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>3. The ISD procedures include descriptions of methods for requiring correction of noncompliance with the range of sanctions the ISD can use to enforce correction.</p> <p>34 CFR §300.600(a); 34 CFR §§80.40(a)(e) and 80.43; 20 U.S.C. 1232d]</p>		<ul style="list-style-type: none"> • Written procedure describing methods for requiring correction of noncompliance • Written description of correction methods and verification and of range of sanctions the ISD can use to enforce correction 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>4. The ISD provides training, technical assistance, and support to Member Districts when developing and implementing improvement or corrective actions.</p> <p>20 U.S.C. 1232d(b)(3) (B); and R 340.1839</p>		<ul style="list-style-type: none"> • Professional development trainings • Evidence of the review of relevant Member District procedures for alignment and correction • Sign-in rosters • Resources or activities that demonstrate effective implementation (verification of file, data review, etc.) 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>
<p>5. The ISD actively supports the implementation of evidence-based practices.</p> <p>ESSA 300.608(a); R 340.1854(2); and R 340.1855(b)]</p>				<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Component 4 Summary Notes

Resources Worth Sharing

Overall Level of Implementation of Component 4

Full Implementation – The ISD has fully evidenced at least 100% of the items in the implementation of the system of general supervision.

Partial Implementation – The ISD has fully evidenced 51% to 99% of the items that will lead to full implementation of the system of general supervision.

Initial Implementation – The ISD continues implementation with 26% to 50% of the items rated as ‘fully evidenced.’

Installation – The ISD has begun implementing activities to put this component of general supervision in place, up to 25% of the items are ‘fully evidenced.’

Exploration – The ISD continues to learn what this component of general supervision means and how it relates to the work of the ISD. No Items (0%) are rated as ‘fully evidenced.’

Component 5: Professional Learning and Development and Technical Assistance (PLD/TA)



Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>1. The ISD systematically disseminates information to Member Districts about promising and evidence-based practices.</p> <p>20 U.S.C. 1232d(b)(3) (B-D)</p>		<ul style="list-style-type: none"> • Method for determining an evidence-based practice • Evidence of determining evidence-based practices to disseminate • Evidence of dissemination of evidence-based practices to Member Districts 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>2. The ISD provides technical assistance or professional learning and development activities to Member Districts directly linked to specific indicators in the SPP for improvement of performance.</p>		<ul style="list-style-type: none"> • Documentation of completed trainings and/or upcoming trainings 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>
<p>3. The ISD provides technical assistance or professional learning and development activities to Member Districts on the identification and correction of noncompliance.</p>		<ul style="list-style-type: none"> • Documentation of completed trainings and/or upcoming trainings • If Member Districts have noncompliance, evidence of corrective action plans 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
4. The ISD conducts evaluations of technical assistance and professional learning and development.		<ul style="list-style-type: none"> Evidence of the evaluations of TA and PD 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>
5. Evaluations of the effectiveness of technical assistance and professional learning and development involve evidence of improved outcomes . R 340.1839(2)		<ul style="list-style-type: none"> Evaluations of technical assistance are available Evaluations include review of outcomes over time (trend data) 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
6. Evaluations of the effectiveness of technical assistance and professional learning and development involve evidence of improved compliance .		<ul style="list-style-type: none"> Evaluations of technical assistance are available Evaluations include review of compliance rates over time (trend data) 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>
7. Evaluations of technical assistance and professional learning and development include determining sustainability .		<ul style="list-style-type: none"> Evaluations of TA and PD include review of sustainability 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Component 5 Summary Notes

Resources Worth Sharing

Overall Level of Implementation of Component 5

Full Implementation – The ISD has fully evidenced at least 100% of the items in the implementation of the system of general supervision.

Partial Implementation – The ISD has fully evidenced 51% to 99% of the items that will lead to full implementation of the system of general supervision.

Initial Implementation – The ISD continues implementation with 26% to 50% of the items rated as ‘fully evidenced.’

Installation – The ISD has begun implementing activities to put this component of general supervision in place, up to 25% of the items are ‘fully evidenced.’

Exploration – The ISD continues to learn what this component of general supervision means and how it relates to the work of the ISD. No Items (0%) are rated as ‘fully evidenced.’

Component 6: Fiscal Accountability and Management



Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>1. The ISD has written procedures ensuring maintenance of effort (MOE) requirements are met including documentation from Member Districts for aggregate ISD testing.</p> <p>34 CFR §§ 300.203 – 300.205</p> <p>Appendix D to Part 300</p>		<ul style="list-style-type: none"> • Written procedures for meeting MOE requirements • Documentation from Member Districts • Communications/communication plan with Member Districts • TA/PLD on requirements of MOE, including allowable exceptions • Succession plan to continue to ensure MOE requirements are met with staff turnover 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>2. The ISD has written procedures ensuring the proportionate share amounts are calculated in the ISD aggregate and spent correctly including Member Districts.</p> <p>34 CFR § 300.133</p> <p>Appendix B to Part 300</p>		<ul style="list-style-type: none"> • Written procedures for ensuring proportionate share amount calculations for ISD • TA/PLD on requirements of proportionate share, including allowable spending categories • Routine monitoring of expenditures 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>
<p>3. The ISD has written procedures ensuring the timely drawdown of IDEA funds.</p>		<ul style="list-style-type: none"> • Written procedures for the timely drawdown of IDEA funds • Routing monitoring of the drawdown process • Annual determination of Member Districts' need for TA/PLD 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
4. The ISD has written procedures for how special education in the ISD is funded.		<ul style="list-style-type: none"> Detailed written procedures describing how the ISD funds special education 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Component 6 Summary Notes

Resources Worth Sharing

Overall Level of Implementation of Component 6

Full Implementation – The ISD has fully evidenced at least 100% of the items in the implementation of the system of general supervision.

Partial Implementation – The ISD has fully evidenced 51% to 99% of the items that will lead to full implementation of the system of general supervision.

Initial Implementation – The ISD continues implementation with 26% to 50% of the items rated as ‘fully evidenced.’

Installation – The ISD has begun implementing activities to put this component of general supervision in place, up to 25% of the items are ‘fully evidenced.’

Exploration – The ISD continues to learn what this component of general supervision means and how it relates to the work of the ISD. No Items (0%) are rated as ‘fully evidenced.’

Component 7: Effective Dispute Resolution (Complaints, Due Process, Mediation)



Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>1. The ISD has methods of regularly reviewing issues raised in the dispute resolution system and programs with disputes to compare to other monitoring information.</p>		<ul style="list-style-type: none"> • Evidence of routine review of issues identified through complaints, due process hearings, mediation, facilitated IEPs, and monitoring • Provision of targeted TA to Member District(s) based on issues identified • Cooperate with OSE on complaint cases to verify corrective action • Examination of issue(s) reports from Catamaran 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>2. Resolution of all disputes must be in accordance with required timelines.</p> <p>34 CFR §§300.152(a); 300.506(b)(5); 300.508; 300.510; 300.511(e); 300.515 and 300.532(c)</p> <p>R 340.1854 and R 340.1724f(8)</p>		<ul style="list-style-type: none"> • Verification of all corrective action from complaints and monitoring • Examination of reports from Catamaran demonstrate resolution within required timelines 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>
<p>3. Dispute resolution data are used to inform the ISD's integrated monitoring component/ activities and professional learning and development and technical assistance.</p> <p>34 CFR § 300.601(b)(1)</p>		<ul style="list-style-type: none"> • Documentation of examination of monitoring and complaint data to identify common issue(s) and the extent of the issue(s) 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>4. Dispute resolution process includes mechanisms for ensuring member districts are knowledgeable of and using informal dispute resolution options (i.e., IEP facilitation, mediation, etc.).</p> <p>34 CFR §§ 300.120(b), 300.152(b)(2); 300.506(b)(6); (7); 300.510(d)(2); 300.513-300.514; and 300.537; and R 340.1854(2)</p>		<ul style="list-style-type: none"> • Examination of reports in Catamaran demonstrate that all corrective actions have been implemented and noncompliance corrected • Violations report • Data/report meetings 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>5. Dispute resolution process includes mechanisms for ensuring the continued use and effectiveness of corrective action which was implemented due to identified noncompliance.</p> <p>34 CFR §§ 300.120(b), 300.152(b)(2); 300.506(b)(6); (7); 300.510(d)(2); 300.513-300.514; and 300.537; and R 340.1854(2)</p>		<ul style="list-style-type: none"> • Revised policies and procedures • Communications with relevant staff regarding revised policies and procedures • Agenda and or sign in sheets for all staff meetings and PD opportunities where the revised policies and procedures are discussed • Copy of reports used to track compliance • Other methods which evidence enforcement of ongoing correction 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Items	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>6. The ISD encourages the use of a continuum of resolution options, including facilitated IEP meetings, to resolve disputes at an informal level and as early as possible.</p> <p>34 CFR § 300.152(b)(ii)</p>		<ul style="list-style-type: none"> • Calendar of events showing ongoing or routine PLD • PLD agendas • Attendance logs from PLD opportunities • Resources provided to staff which could include articles, memos, handouts, books, training videos, webinar, etc.) 		<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Component 7 Summary Notes

Resources Worth Sharing

Overall Level of Implementation of Component 7

Full Implementation – The ISD has fully evidenced at least 100% of the items in the implementation of the system of general supervision.

Partial Implementation – The ISD has fully evidenced 51% to 99% of the items that will lead to full implementation of the system of general supervision.

Initial Implementation – The ISD continues implementation with 26% to 50% of the items rated as ‘fully evidenced.’

Installation – The ISD has begun implementing activities to put this component of general supervision in place, up to 25% of the items are ‘fully evidenced.’

Exploration – The ISD continues to learn what this component of general supervision means and how it relates to the work of the ISD. No Items (0%) are rated as ‘fully evidenced.’

Component 8: Improvement, Correction, Incentives and Sanctions

The sample documentation section for Component 8 is intentionally left blank. The ISD may choose to complete this section, but it is not an expectation of the OSE.



Evidence	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
<p>1. Model practices reflecting improvement activities of high performing Member Districts are identified in order to be replicated at other Member Districts.</p> <p>20 U.S.C. 1232d(b)(3) (C) and (D)</p>				<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>
<p>2. Incentives are provided to reinforce high performing Member Districts.</p>				<p>Fully evidenced</p> <p>Partially evidenced</p> <p>Not evidenced</p>

Evidence	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
3. Incentives are provided for improvement at the Member District level.				Fully evidenced Partially evidenced Not evidenced
4. Sanctions are imposed when correction of noncompliance is not timely. 34 CFR § 300.626 and R 340.1855(b)				Fully evidenced Partially evidenced Not evidenced

Evidence	What are we currently doing?	Sample documentation	What might we need to do?	Extent of evidence
5. Sanctions can be used when Member Districts fail to meet SPP targets. 20 U.S.C. 1412(a)(8); 1417(c)				Fully evidenced Partially evidenced Not evidenced

Component 8 Summary Notes

Resources Worth Sharing

Overall Level of Implementation of Component 8

Full Implementation – The ISD has fully evidenced at least 100% of the items in the implementation of the system of general supervision.

Partial Implementation – The ISD has fully evidenced 51% to 99% of the items that will lead to full implementation of the system of general supervision.

Initial Implementation – The ISD continues implementation with 26% to 50% of the items rated as ‘fully evidenced.’

Installation – The ISD has begun implementing activities to put this component of general supervision in place, up to 25% of the items are ‘fully evidenced.’

Exploration – The ISD continues to learn what this component of general supervision means and how it relates to the work of the ISD. No Items (0%) are rated as ‘fully evidenced.’